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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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07/28/2003

John C. Devine

MER103

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20482

7590

03/07/2006

GARRISON ASSOCIATES

2001 SIXTH AVENUE

SUITE 3300

SEATTLE, WA 981212522

EXAMINER

NGUYEN, HANH N

ART UNIT

PAPER NUMBER

2834

DATE MAILED: 03/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

34

<b>Office Action Summary</b>	<b>Application No.</b>		<b>Applicant(s)</b>	
	10/629,882		DEVINE, JOHN C.	
	<b>Examiner</b>		<b>Art Unit</b>	
	Nguyen N. Hanh		2834	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 13 February 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18/12/03 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                         |                                                                             |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____                                                |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____                                                             | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### *Remarks*

1. In view of new ground of objections and rejection, the allowable subject matter of claim 10 is cancelled.

### *Drawings*

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: "seal ring 42, compressor 43, quill shaft 44, fixture 46, condenser 46, assembly 52". The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: "26a, 24 in Fig. 3"; "80, 2, 26 in Fig. 4"; "80, 2, 26 in Fig. 3". The drawings are objected to because "winding 3" in Figs. 1 and 2 should be labeled as:---winding 9---; reference (9) in Figs. 1 and 2 should be (5). Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

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3. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the “stator housing carrying permanent magnets” in claim 1 and “a refrigeration compressor and refrigeration coil” in claim 10 must be shown or the features canceled from the claims (it is noted that none of the drawings shows a permanent magnet mounted on the stator housing 2, only stator coil 9 mounted on stator housing 2 and Fig. 2 only show permanent magnet 4 mounted on the shaft 6). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as “amended.” If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either “Replacement Sheet” or “New Sheet” pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Specification***

4. The disclosure is objected to because of the following informalities: "fin 16 and inlet 30" as described in amended specification filed on 6/14/05 should be written as:--- fin 15 and inlet 20---.

Appropriate correction is required.

The specification has not been checked to the extend necessary to determine the presence of all possible minor errors. Applicant's corporation is requested in correcting any errors of which Applicant may be aware in the specification.

***Claim Objections***

5. Claims 1 and 10 are objected to because of there is no antecedent basis in the drawings, in the specification for: "stator housing carrying permanent magnets" (claim 1) and "a refrigeration compressor and refrigeration coil mounted within said rotor shaft" claim 10. In lights of the specification, the Examiner interprets the limitation in claim 1 as "stator housing carrying stator windings" and the limitation in claim 10 as "a permanent magnet mounted within said rotor shaft".

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1-10 are rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential structural cooperative relationships of elements, such omission amounting to a gap between the necessary structural connections. See MPEP § 2172.01. The omitted structural cooperative relationships are: the relationship

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between air inlet 20 and air outlet 21. As described in pages 6-7, the air is drawn from the outside to the hollow shaft (6) through inlet (20), the air will pass through the rotor shaft, exit the shaft and flows through the annular space (16) and the exit to the atmosphere. However, Figs. 3 and 4 show the inlet 20 is on the side of the hollow shaft and is not communicated to the shaft and Fig. 5 shows the air (30) exit the generator without passing through outlet (21).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Glauning (U.S. Patent No. 6,087,744) in view of Staub et al. (U.S. Patent No. 5,223,757).

Referring to claim 1, Glauning teaches in a permanent magnet generator (figure 2), the combination of: a generator housing (36); a stator housing (40) within said generator housing, said stator housing carrying a stator winding (10) and having an outer surface being fitted with external fins (the meander-shaped grooves, line 14 – 18, column 3), said fins surrounded by a sleeve (cooling medium) extending generally axially from front to rear along said stator housing external surface; a stator winding (10) within said stator housing; a hollow shaft (4) rotatably mounted within said stator housing, said shaft having an air channel communicating therethrough an inlet end and

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an outlet end (the arrow air flowing from inlet at end shaft 2 and flowing out from outlet 22, or 32 in figure 2); a fan (28) mounted on said hollow shaft; means (motor) for rotating said shaft; whereby said stator housing is fit within said generator housing such that there is a space (cooling passage 38) between said housings and when said generator is in operation, said fan draws cooling air forward through in said rotor shaft (4) and ejects said air through said space between said stator housing and said generator housing over said stator housing external fins into the atmosphere (the arrows in figure 2, and flowing out through cooling passage medium 32, 38, 22), and thereby cools said generator (line 29 – 36 column 3). Glauning does not teach a cylindrical aluminum sleeve mounted inside the hollow shaft.

However, Staub teaches a motor cooling using a liquid cooled rotor (figure 1) having a hollow shaft (7) with a cylindrical aluminum sleeve (27) mounted inside the hollow shaft for good heat transfer through the shaft.

Thus, it would have been obvious to one having skill in the art at the time the invention was made to modify Glauning's generator with a cylindrical aluminum sleeve mounted inside the hollow shaft as taught by Staub. Doing so would improve heat removal from the machine.

Regarding claim 10, it is noted that all limitations of the claimed invention has been fulfilled by Glauning and Staub et al. as in claim 1.

8. Claims 2, 4 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Glauning and Staub as applied to claim 1 above, and further in view of Halimi et al. (U.S. Patent No. 5,605,045).

Regarding claim 2, the combination of Glauning and Staub teaches the claimed invention, except for the added limitation of the generator comprising an air filter.

Halimi teaches in his invention a cooling system with the generator having an air filter to remove the larger physical contaminants (line 36- 37 column 2).

Thus, it would have been obvious to one having skill in the art at the time the invention was made to modify the generator with an air filter as taught by Halimi. Doing so would prevent the contaminants coming to the generator.

Regarding claim 4, Halimi teaches the generator comprising permanent magnets (62,64,66, 68) mounted on said shaft (figure 2).

9. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Glauning, Staub, and Halimi as applied to claim 2 above, and further in view of Rakow (U.S. Patent No. 4,358,303).

Regarding claim 3, the combination teaches the claimed invention, except for the added limitation of the air filter is self-cleaning.

Rakow teaches an alternator having a self-cleaning air filter (see claim 1) for keeping the cooling air passages of alternators free of dirt and debris.

Thus, it would have been obvious to one having skill in the art at the time the invention was made to modify the air filter in the generator with a self-cleaning air filter as taught by Rakow. Doing so would keep the alternator free of dirt and debris.

10. Claims 5, 7 – 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Glauning and Staub as applied to claim 1 above, and further in view of Nilson (U.S. Patent No. 6,661,145 B1).

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Regarding claims 5 and 7, the combination substantially teaches the claimed invention, except for the added limitation of the magnets are held in place by a plurality of magnet retention rings (or metal ring as recited in claim 5) that are configured to secure said magnets to said shaft, said retention rings being fitted around said shaft and threadably connected to said shaft.

However, Nilson teaches a rotor for a high speed permanent magnet motor having permanent magnets (21) mounted on a shaft (20) by a plurality of magnet retention rings (24, 25) that are configured to secure said magnets to said shaft, said retention rings being fitted around said shaft and connected to said shaft (by nut 28 through thread 29).

Thus, it would be obvious for one having skill in the art at the time the invention was made to modify the generator with magnet retention rings as taught by Nilson. Doing so would secure the magnets to the shaft and obtain the highest possible pre-tension of the magnets.

Regarding claim 8, Nilson teaches the generator wherein said magnets (21) include a plurality of permanent magnets arranged in a plurality of rows that extend around the circumference of said shaft (figure 4) and said magnets are further held in place by at least one magnet spacer ring (23) that is configured to fit between two of said rows and secure said magnets to said shaft, and said spacer ring being fitted around said shaft (figure 2).

Regarding claim 9, Nilson teaches the generator wherein said magnets include a plurality of permanent magnets (21) arranged in rows that extent around the

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circumference of said shaft, said magnets being placed such that the opposite poles of adjoining magnets face each other the generator further comprising interpole spacers (23) placed between adjoining magnets; and said interpole spacers being threaded connected to said shaft (figure 4).

11. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Glauning, Staub, and Nilson and further in view of Julien.

Regarding claim 6, the combination of Glauning, Staub, and Nilson substantially teaches the claimed invention, except for the added limitation of the metal ring is Nitinol 60.

However, Julien discloses an improved protective coating on metal component using Nitinol 60 (Col. 5, lines 55-58) for the purpose of providing hard wear surface.

Thus, it would have been obvious at the time the invention was made to a person having an ordinary skill in the art to modify Glauning, Staub, and Nilson by using Nitinol 60 for the shaped metal alloy as taught by Julien for the purpose of providing hard wear surface.

### ***Conclusion***

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh N Nguyen whose telephone number is (571) 272-2031. The examiner can normally be reached on Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Darren Schuberg, can be reached on (571) 272-2044. The fax phone numbers for the organization where this application or proceeding is assigned are (571)


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273-8300 for regular communications and (571) 273-8300 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1782.

HNN

February 24, 2006

  
DARREN SCHUBER  
SUPERVISORY PATENT EXAMINER  
TECHNICAL CENTER